

Progress Report including Assurance Opinions

Department	Activity Name	Priority	Activity Status	Risk Opinion	Control Opinion	Reported to Audit and Standards Committee	Comments
Council Wide	Workforce - Programme Management	High	Deferred				2019/20 Audit Plan will include a review reflecting the title LGA Peer Review as opposed to Workforce Plan. A summary paragraph to this effect will be reported to ASC in 18/19 annual report.
Council Wide	Workforce Plan - Transitional Arrangements	High	Final Report Issued	Not Applicable	Not Applicable	07/05/2019	Consultancy
Council Wide	Capital Programme Limited Assurance Follow Up	High	Deferred				Deferred to 19/20 plan to ensure consideration of new capital strategy approval and implementation
Council Wide	Procurement	High	Final Report Issued	Satisfactory	Satisfactory	09/10/2018	Brought Forward from 17/18 plan
Council Wide	Legacy Software	High	Final Report Issued	Satisfactory	Limited	09/10/2018	Brought Forward from 17/18 plan
Development Services	Food Hygiene Inspections	High	Final Report Issued	Satisfactory	Satisfactory	29/01/2019	
Customer Services	Business Continuity	High	Audit in Progress				
Customer Services	Business Rates Evaluation List	High	Audit in Progress				
Customer Services	Multi Services Contract Follow Up	High	Final Report Issued	Limited	Limited	09/10/2018	
Customer Services	Subscription Rooms - Risk Management	High	Draft Report Issued				
Customer Services	Homelessness	High	Final Report Issued	Satisfactory	Satisfactory	07/05/2019	Brought Forward from 17/18 plan
Customer Services	The Pulse Dursley Limited Assurance Follow Up	High	Final Report Issued	Satisfactory	Satisfactory	29/01/2019	
Finance	Banks Automated Clearing System (BACS)	High	Final Report Issued	Satisfactory	Satisfactory	26/07/2018	
Finance	Budget Savings	High	Final Report Issued	Substantial	Substantial	07/05/2019	
Finance	Communications (Publication of Financial Information)	High	Final Report Issued	Substantial	Satisfactory	07/05/2019	
Finance	Debt Collection and Recovery	High	Final Report Issued	Substantial	Substantial	07/05/2019	
Finance	Insurance	High	Cancelled				Refer to insurance tender consultancy review positive outcome within the November 2018 progress report
Finance	Local Government Pension Scheme (LGPS) Limited Assurance Follow Up	High	Final Report Issued	Satisfactory	Satisfactory	29/01/2019	
Tenant & Corporate Services	Competency Framework	High	Planned				Audit progression/deferral pending Action Plan emanating from LGA Peer Review
Tenant & Corporate Services	Contract Management (Mechanical and Electrical Payment Mechanisms)	High	Final Report Issued	Satisfactory	Substantial	09/10/2018	
Tenant & Corporate Services	Depooling of Rents	High	Consultancy	Not Applicable	Not Applicable	07/05/2019	
Tenant & Corporate Services	General Data Protection Regulations (GDPR)	High	Planned				
Tenant & Corporate Services	HRA Delivery Plan - Budget Savings	High	Deferred				Deferred to 19/20 plan due to recent legislation changes and corporate delivery plan and council's vision to be refreshed
Tenant & Corporate Services	IR35s - Off Payroll Working Through An Intermediary	High	Final Report Issued	Substantial	Substantial	07/05/2019	
Tenant & Corporate Services	Property Maintenance	Medium	Audit in Progress				
Tenant & Corporate Services	Risk Management (Contracts)	High	Final Report Issued	Satisfactory	Satisfactory	07/05/2019	
Tenant & Corporate Services	Settlements	High	Final Report Issued	Satisfactory	Satisfactory	09/10/2018	
Finance	Treasury Management	High	Final Report Issued	Satisfactory	Satisfactory	20/11/2018	
Council Wide	Car Parking Review	High	Final Report Issued	Not Applicable	Not Applicable	29/01/2019	New activity
Tenant & Corporate Services	ICT Action Plan	High	Audit in Progress				Position statement in 18/19 annual report